

SANDIA REPORT

SAND2014-19512

Unlimited Release

Printed November 2014

Active Control of Nitride Plasmonic Dispersion in the Far Infrared

Greg Dyer, Eric Shaner, Don Bethke, Albert Grine, Andrew Allerman, Albert Baca, William Seng

Prepared by
Sandia National Laboratories
Albuquerque, New Mexico 87185 and Livermore, California 94550

Sandia National Laboratories is a multi-program laboratory managed and operated by Sandia Corporation, a wholly owned subsidiary of Lockheed Martin Corporation, for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.

Approved for public release; further dissemination unlimited.



Sandia National Laboratories

Issued by Sandia National Laboratories, operated for the United States Department of Energy by Sandia Corporation.

NOTICE: This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government, nor any agency thereof, nor any of their employees, nor any of their contractors, subcontractors, or their employees, make any warranty, express or implied, or assume any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represent that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government, any agency thereof, or any of their contractors or subcontractors. The views and opinions expressed herein do not necessarily state or reflect those of the United States Government, any agency thereof, or any of their contractors.

Printed in the United States of America. This report has been reproduced directly from the best available copy.

Available to DOE and DOE contractors from

U.S. Department of Energy
Office of Scientific and Technical Information
P.O. Box 62
Oak Ridge, TN 37831

Telephone: (865) 576-8401
Facsimile: (865) 576-5728
E-Mail: reports@adonis.osti.gov
Online ordering: <http://www.osti.gov/bridge>

Available to the public from

U.S. Department of Commerce
National Technical Information Service
5285 Port Royal Rd.
Springfield, VA 22161

Telephone: (800) 553-6847
Facsimile: (703) 605-6900
E-Mail: orders@ntis.fedworld.gov
Online order: <http://www.ntis.gov/help/ordermethods.asp?loc=7-4-0#online>



Active Control of Nitride Plasmonic Dispersion in the Far Infrared

Greg Dyer, Eric Shaner, Don Bethke, Albert Grine, Andrew Allerman, Albert Baca, William Seng
1118
Sandia National Laboratories
P.O. Box 5800
Albuquerque, New Mexico 87185-MS1421

Abstract

We investigate plasmonic structures in nitride-based materials for far-infrared (IR) applications. The two dimensional electron gas (2DEG) in the GaN/AlGaN material system, much like metal-dielectric structures, is a patternable plasmonic medium. However, it also permits for direct tunability via an applied voltage. While there have been proof-of-principle demonstrations of plasma excitations in nitride 2DEGs, exploration of the potential of this material system has thus far been limited. We recently demonstrated coherent phenomena such as the formation of plasmonic crystals, strong coupling of tunable crystal defects to a plasmonic crystal, and electromagnetically induced transparency in GaAs/AlGaAs 2DEGs at sub-THz frequencies. In this project, we explore whether these effects can be realized in nitride 2DEG materials above 1 THz and at temperatures exceeding 77 K.

CONTENTS

1. Introduction.....	7
2. Simulation of plasma modes.....	9
3. Fabrication	11
4. Electrical Characterization.....	15
5. Conclusion	17
6. References.....	18
Distribution.....	19

NOMENCLATURE

GaN	Gallium Nitride
IV	Current-Voltage
SEM	Scanning Electron Microscope
THz	Terahertz
2DEG	Two-dimensional electron gas

1. INTRODUCTION

Over the past 10 years we have developed a thorough understanding of Terahertz (THz) plasmonic excitations in high mobility two-dimensional electron gas (2DEG) material. All of this work has primarily been at frequencies ranging from 0.1 to 1 THz using the GaAs/AlGaAs material system. While plasmonics can offer interesting tunable circuit elements, this frequency region lacks integrated gain. By moving to much higher frequencies from 1 to 5 THz, quantum cascade lasers become accessible. Developing plasmonic technology in this higher frequency range can enable on chip sensing and high speed modulators and possibly improve heterodyne mixer performance.

Nitrides are a relatively immature plasmonic material system, and fundamental questions concerning their potential as a reconfigurable plasmonic medium remain open. In comparison to mature III-V materials such as GaAs/AlGaAs structures, electron scattering rates are higher in nitride 2DEGs. Electron scattering contributes significantly to plasmon damping. We anticipate that the capability of operation above 1 THz will compensate for the contributions from electron scattering mechanisms to plasmon damping. However, this needs to be demonstrated conclusively. During this program we simulated plasmon dispersion in this material system, fabricated devices, and initial electrical characterization of the devices was performed. Full photoresponse tests were beyond what we could accomplish under this effort.

2. SIMULATION OF PLASMA MODES

Our primary simulation efforts were done in collaboration with researchers at Florida International University and Rensselaer Polytechnic Institute. The work is detailed in “Dispersion Studies in THz Plasmonic Devices with Cavities” [1] (Karabiyik et al. SPIE Vol. 9102, 9102K-05, 2014). To summarize that work, we can say that numerical and analytical calculations predict plasmonic bandgaps and crystal defect mode in modulated GaN HEMT systems using realistic material parameters.

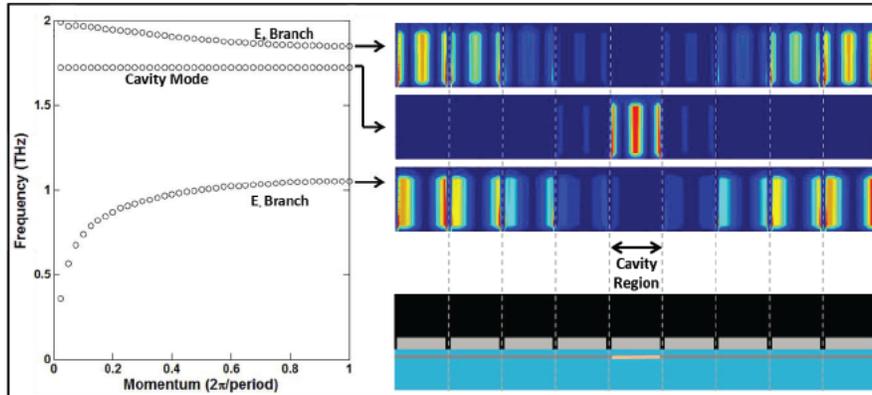


Fig. 1: From Ref[1], Dispersion curves of grating gated GaN 2DEG plasmonic devices with cavities. Grating gate device geometry has 900 nm gate length and 100 nm slit length. Electric field distributions ($|E|^2$) of the corresponding branches are shown. Red indicates the high electric fields and blue indicates the low electric field. Cavity mode is localized on the cavity region while resonant modes are localized on off-cavity regions.

While it is one thing to predict plasmon excitations and cavity behavior, experimental verification of those properties require a mechanism to convert the high frequency excitation into a measurable response. Beyond that, a localized probe of plasmon resonances is clearly advantageous in light of the simulations in Fig. 1. In section 4 we will detail the enabling device behavior for such measurements and demonstrate that our GaN based devices have the desired IV characteristics needed for successful plasmon detection.

3. FABRICATION

The wafer used for this work was Sandia growth GNC3096. The room temperature 2DEG had a carrier density of approximately $9 \times 10^{12} \text{ cm}^{-2}/\text{vs}$.

GNC3096A

Material	Å
Al _x GaN (x=0.27)	220
GaN	9700
AlN	1600

Substrate: (-A)SiC-SI development DSP II-VI A4-227-03 387um thick

The process flow below was used for GaN device fabrication:

1. Mesa Definition

a. Rinse wafer with acetone, methanol, and isopropanol for 15 seconds each, rinse, dry

b. Mesa Lithography

i. Apply/spin HMDS

ii. Apply/spin AZ P4330 @ 5k rpm for 30 s, soft bake @ 90 C for 90 s

iii. Expose with soft contact for 70 s using EBR pattern

iv. Develop MF300 for 120 s

v. Expose with vacuum contact for 8.5 s (170 mJ/cm²) using mesa litho pattern

vi. Develop using MF300 for 65 s

vii. Descum photo resist, LFE barrel plasma clean, O₂, 20 W, 5 min

c. Mesa etch

Trion Chlorine ICP Etch Tool

i. Etch 47 sec for 800 Å

ii. Rinse, DI H₂O

iii. LFE plasma clean, O₂, 850 mTorr, 200 W, 10 min

iv. Strip PR with acetone soak and airbrush spray, then rinse in methanol and dry with N₂

v. LFE plasma clean, O₂, 850 mTorr, 200 W, 10 min

vi. Measure mesa height

2. Ohmic Contacts

a. Ohmic Lithography

i. Apply/spin HMDS

ii. Apply/spin on AZ 5214 @ 5k rpm for 30 s, soft bake @ 90 C for 90 s

iii. Expose for 60 s using EBR pattern

iv. Develop using MF300 for 60 s

v. Expose for 5.4 s (108 mJ/cm²) vacuum contact using ohmic contact pattern

vi. Develop using MIF300 for 45 s

b. Metal Deposition

i. Descum photoresist, LFE plasma clean, 20W, 5 min

- ii. Remove oxide, 1:1 (HCl:DI H₂O), dip for 10 s, rinse, dry, load into E-beam evaporator without delay
- iii. Metal Deposition using E-beam evaporation:
 - 1. 250 Å Ti
 - 2. 1000 Å Al
 - 3. 150 Å Ni
 - 4. 500 Å Au
- iv. Metal Pattern Lift-Off : Soak substrate(s) upside down in 90 C NMP for 30 min with stir bar agitation
- v. Soak and rinse with acetone, isopropanol, and dry
- c. Anneal
 - i. RTA @ 850 C for 30 s in flowing Argon @ atmosphere

3. Gate Contacts

- a. E-beam Lithography
 - i. Dehydration bake, 150 C for 5 min, then cool
 - ii. Apply PMMA C9 495 e-beam resist.
 - iii. Spin at 5k rpm for 45 seconds.
 - iv. Hotplate bake 180 C for 15 min
 - v. Coat with 10nm thermal Au (charge dissipation)
 - vi. E-beam lithography: expose gate pattern with 1150 uC/cm²
 - vii. Remove Au charge layer with KI/I₂ solution.
 - viii. Develop exposed resist in 1:3 MIBK:Isopropanol for 75 seconds
 - vii. LFE plasma clean, O₂, 20W, 5 min

b. Metal Deposition

- i. Remove substrate oxidation using 1:1 (HCl:DI H₂O) 10 s dip, DI H₂O rinse until 10 MΩ reading, dry w/ N₂. Load sample(s) into e-beam evaporator without delay.
- ii. Metal Deposition, using E-beam evaporation:
 - 1. 200 Å Ni
 - 2. 4500 Å Au
- iv. Metal pattern lift-off: Soak wafers upside down in 90 C NMP for 20 min
- v. Rinse with acetone, IPA, N₂ dry.

4. Dielectric Passivation Layer

a. Silicon Nitride Deposition

- i. Plasma clean substrate(s) using O₂ plasma tool, 20W for 5 min
- ii. Deposit 1000Å CVD Silicon Nitride

b. Passivation Lithography

- i. Apply HMDS
- ii. Apply/spin on AZ P4330 @ 5k rpm for 30s
- iii. Bake @ 110 C for 90 s
- iv. Expose using EBR pattern for 70 s, soft contact
- v. Develop using MF 300 for 240 s
- vi. Expose passivation dielectric pattern for 9 s (180 mJ/cm²), vacuum contact

vii. Develop using MF 300 for 120 s

c. SiN Etch:

i. Descum photoresist using LFE O2 plasma clean, 20W for 5 min

ii. Etch 1000 Å Silicon Nitride with Fluorine ICP Etch tool (105 seconds with Trion Tool and recipe)

iii. LFE Resist clean, O2, 200 W, 10 min

iv. Rinse substrate(s) with acetone, methanol, and isopropanol for 15 seconds each, rinse, dry

5. Antenna and Bond Pads

a. Antenna & Bond Pad Lithography

i. Apply/spin HMDS

ii. Apply/spin AZ P4330 @ 5k rpm for 30 s, soft bake @ 90 C for 90 s

iii. Expose for 70 s using EBR pattern, soft contact

iv. Develop using MIF300 for 120 s

v. Expose for 8.5 s (170 mJ/cm²) using antenna/bond pad pattern

vi. Develop using MF300 for 65 s

b. Metal deposition

i. Descum photoresist pattern, LFE O2 plasma, 20W, 5 min

ii. Deposition using E-beam evaporation:

1. 200 Å Ni

2. 4500 Å Au

iii. Soak wafers upside down in 90 C NMP for 10 min with stir bar agitation

iv. Soak and rinse with acetone, rinse with isopropanol, and dry.

An example device fabricated using this process is shown in Fig. 2. The fine gate detail is emphasized in Fig. 2 (b) and Fig. 2(c). A variety of gate line widths ranging from 0.3 microns to 2 microns were fabricated.

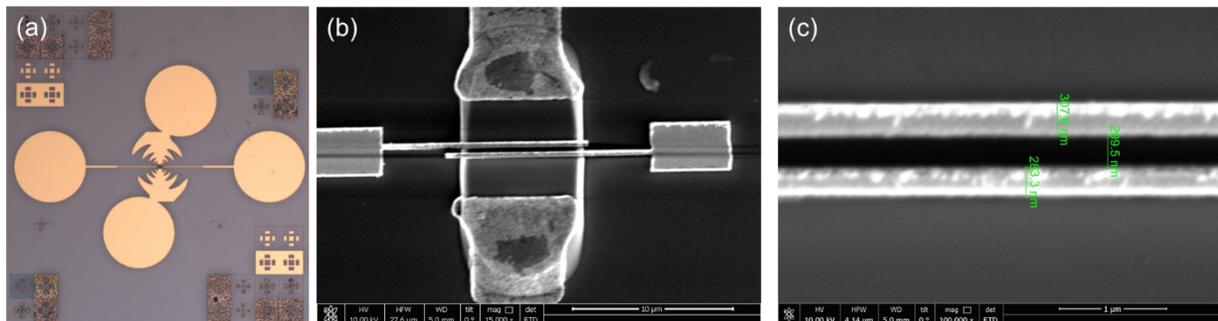


Fig. 2: Fabricated THz GaN HEMT devices. (a) Full view of complete device including THz antenna. (b) SEM of plasmonic transistor located at antenna vertex. (c) SEM image of narrowest gate lines of device.

4. ELECTRICAL CHARACTERIZATION

In 2006 we first realized that nearly depleted gated regions could act as either an integrated plasmon rectifier or local bolometer depending on biasing conditions [2]. This observation launched years of work leading to direct tunable THz detectors with competitive performance to the recent observation of Tamm states in THz plasmonic crystals [3]. The electrical characteristics that lead to this novel detection behavior are shown in Fig. 3 for a high mobility transistor device fabricated in GaAs. When a single gate line in the device is biased near depletion, a tunnel barrier is formed between the source and drain. In the negative IV quadrant, the gated section of the transistor channel acts as a plasmon rectifier. In the positive IV quadrant, the gated channel section has a bolometric response.

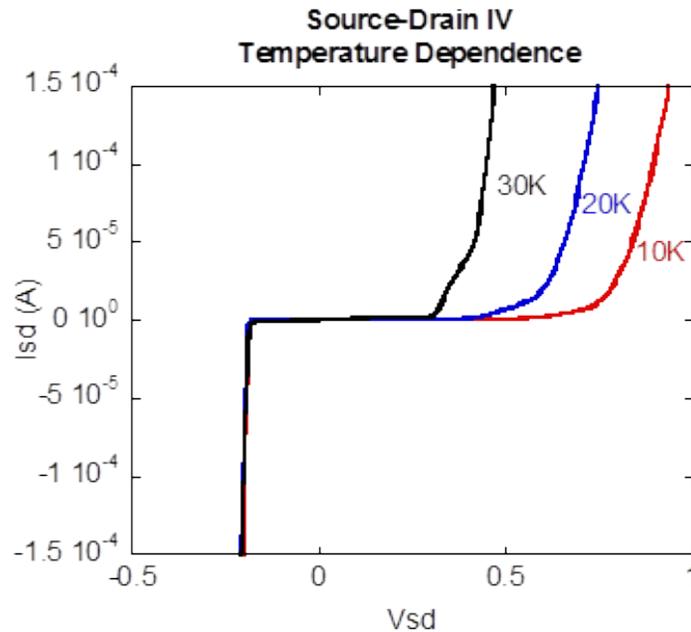


Fig. 3: IV characteristics of GaAs based plasmonic transistor. A single gate line is operated near depletion creating a tunnel barrier between the source and drain. In the negative IV quadrant, this gate line acts as a plasmonic rectifier sensing any adjacent plasma excitation. In the positive IV quadrant, the gated region of channel provides a bolometric response.

The bolometric nature of the positive IV quadrant is made clear by the temperature dependence shown in Fig. 3. As temperature is increased, eventually this IV feature loses contrast and the device loses sensitivity. Knowing this general behavior is critical to GaN device performance, we performed basic IV characterization of devices at room temperature. Results from those measurements are shown in Fig. 4. First, the pinchoff point for the channel is characterized in Fig. 4(b) in order to ascertain approximate operating points for rectifier/bolometer operation. In Fig. 4(c), the device is biased in a similar way as the GaAs device used for Fig. 3. Clearly, the negative quadrant IV characteristics are in line with rectifier behavior. The positive IV quadrant was not completely explored as the measurement was set to 2 V compliance in order to protect the device. As these are preliminary measurements, it was satisfactory to simply verify the barrier was intact at room temperature. In our experience, when sufficient voltage is applied eventually tunneling occurs leading to a bolometric response having high responsivity. At this

stage, the IV characteristics of the GaN device indicate that elevated temperature operation of our preferred detection mechanisms using the rectifier/bolometer element should be possible.

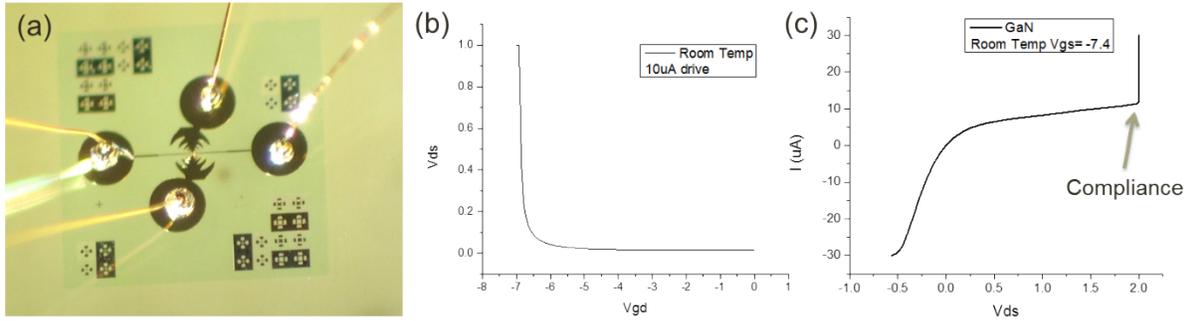


Fig. 4: IV Characterization of GaN based plasmonic transistors. (a) Fully packaged device. (b) Drain-Source voltage vs. Gate-Source voltage with source grounded. Pinchoff of the channel occurs near 7V. (c) Drain-Source current vs. voltage with single gate line pinched biased to -7.4 V. The compliance of the measurement unit was set to 2 V in order to protect the device.

5. CONCLUSION

This effort sought to investigate the tailorability of plasmonic structures in nitride-based (GaN) materials for far-infrared (IR) applications. While there have been proof-of-principle demonstrations of plasma excitations in nitride 2DEGs, exploration of the potential of this material system has thus far been limited. Our initial goal was to demonstrate theoretically and experimentally that GaN could support coherent phenomena, such as the formation of plasmonic crystals, strong coupling of tunable crystal defects to a plasmonic crystal, and electromagnetically induced transparency. During this program, simulations were performed that predict the desired performance, including the existence of plasmonic defect cavities using reasonable GaN material properties, and devices were fabricated and characterized. However, the characterization was limited to IV testing in order to determine if the gate defined barriers could be used as plasmonic detection elements as we have done in previous work on GaAs. Initial results are promising; however, full photoresponse testing was not performed and is left as future work to be performed.

6. REFERENCES

1. Mustafa Karabiyik; Raju Sinha; Chowdhury Al-Amin; Gregory C. Dyer; Nezh Palla; Michael S. Shur, Dispersion studies in THz plasmonic devices with cavities, SPIE Vol. 9102, 9102K-05 (2014)
2. EA Shaner, MC Wanke, AD Grine, SK Lyo, JL Reno, SJ Allen, Enhanced responsivity in membrane isolated split-grating-gate plasmonic terahertz detectors, Applied physics letters 90 (18), 181127
3. GC Dyer, GR Aizin, SJ Allen, AD Grine, D Bethke, JL Reno, EA Shaner, Induced transparency by coupling of Tamm and defect states in tunable terahertz plasmonic crystals, Nature Photonics 7, 925 (2013)

DISTRIBUTION

1	MS0359	D. Chavez, LDRD Office	1911
1	MS0406	Dyer, Greg	5785
1	MS1085	Baca, Albert	1766
1	MS1086	Allerman, Andrew	1126
1	MS1305	Bethke, Donald	1118
1	MS1421	Shaner, Eric	1118
1	MS1421	Grine, Albert	1118
1	MS1423	Seng, Bill	1118
1	MS0899	Technical Library	9536 (electronic copy)



Sandia National Laboratories